Î	F	W
سن	,	

Application No. 10/749,303 TRANSMITTAL FORM Filing Date December 30, 2003 (to be used for all correspondence after initial filing) First Named Inventor Su-Hwan Oh Art Unit **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 51876P551 **ENCLOSURES** (check all that apply) After Allowance Communication to Group Drawing(s) Fee Transmittal Form Appeal Communication to Board of Appeals and Interferences Fee Attached Licensing-related Papers Appeal Communication to Group Petition Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition to Convert a Provisional Application After Final Proprietary Information Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address Status Letter Extension of Time Request Other Enclosure(s) **Terminal Disclaimer** (please identify below): **Express Abandonment Request** Request for Refund Five (5) cited references; Information Disclosure Statement Return Postcard PTO/SB/08 CD, Number of CD(s) Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Remarks Basic Filing Fee Declaration/POA Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Eric S. Hyman, Reg. No. 30,139 Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature Date ้ ปี ต CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name Kelly Walsh
Signature Date 10-11-09

Based on PTO/SB/21 (04-04) as modified by Blakely, 6 lokoff, Teylor & Zafman (w/r) 06/04/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Docket No.: 51876P551

FIE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

OCT 1 5 2004

Su-Hwan Oh, et al.

Application No.: 10/749,303

Filed: December 30, 2003

For: Widely Tunable Sampled-Grating

Distributed Feedback Laser Diode

Art Group:

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

-1-

51876P551

The references were cited in a communication in a counterpart European application on August 6, 2004, in a counterpart European application, which was forwarded to Applicant's Representative in a communication dated September 6, 2004.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

Blakely, Søkoloff, Taylor & Zafman LLP

Eric S. Hyman, Reg. No. 30,139

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kelly Walsh

-2-

Date

51876P551

007 1 5 2004 C

Substitution form 1449A/ROVING INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	2
-------	---	----	---

Complete if Known		
Application Number	10/749,303	
Filing Date	December 30, 2003	
First Named Inventor	Su-Hwan Oh	
Art Unit		
Examiner Name		
Attorney Docket Number	51876P551	

		Document Number	Publication Date		Pages Columns Lines Where
Examiner Initials*	Cite No.¹	Cite or Issue Date	Name of Patentee or Applicant of Cited Document	Pages, Cotumns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-5,748,660	05-05-1998	Delorme et al.	
		US-2002/0181532	12-05-2002	Ryu et al.	
		US-			
	·	US-			
		US-	·		

	FOREIGN PATENT DOCUMENTS					
Examiner	Examiner Cite	Foreign Patent Document			Pages, Columns, Lines,	Т°
Initials*	nitials* No.¹ Country Code³ - Number⁴ - Kind Code⁵ (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	<u> </u>
						_
		-				┼-
					-	\vdash
						ļ
		_				-
						+-

P	Data	. 1
Examiner	Date	5
	_]
Signature	I Con	sidered
Signature	Con	Sidered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003.

Complete if Known Substitute for form 1449A/PTO Application Number 10/749,303 INFORMATION DISCLOSURE December 30, 2003 Filing Date Su-Hwan Oh First Named Inventor STATEMENT BY APPLICANT Art Unit (use as many sheets as necessary) **Examiner Name** 2 of Attorney Docket Number Sheet 51876P551

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		A 4-Shifted Sampled or Superstructure Grating Widely Tunable Twin-Guide Laser, IEEE, Vol. 13, no. 10, pp. 1052-1054, 2001	
		A Tunable Distributed Amplification DFB Laser Diode, IEEE, Vol. 10, No. 1, pp. 30-32, 1998	
		Design of Sampled Grating DBR Lasers with Integrated Semiconductor Optical Amplifiers, IEEE, Vol. 12, No. 7, pp. 762-764, 2000	
		Monolithic Integration of Multiwavelength Laser Array Associated with Asymmetric Sampled Grating Lasers, IEEE, Vol. 8, pp. 1358-1365, 2002	
		Design of DWDM Laser Arrays Based on Sampled Grating DFB Lasers, IEEE, Vol. CONF. 18, pp. 73-74, 2002	

Examiner	Date
Signature	Considered

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.